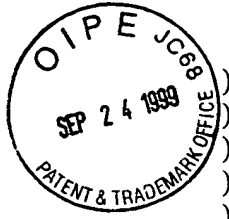


DAK #
PATENT #8
(S)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of

Grubbs, et al.

Application No. 09/007,498

Filed: January 15, 1998

For: HIGH METATHESIS ACTIVITY
RUTHENIUM AND OSMIUM
METAL CARBENE COMPLEXES

Group Art Unit: 1621

PTO Batch No. V52

Examiner: Nazario-Gonzalez, P.

DEFICIENCY OWED UNDER
37 C.F.R. § 1.28(c)

Assistant Commissioner for Patents
Washington, D.C. 20231

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A/C PATENTS

Sir:

Small entity status was improperly claimed in this case when it was filed as a divisional of parent application 08/693,789 filed July 31, 1996. Although the error was partially corrected when the Response to the Notice of Missing Parts was filed, only the deficiency in the basic filing fee was paid. More particularly, the deficiencies for four additional claims and the terminal disclaimer were not remitted. As a result, pursuant to 37 C.F.R. § 1.28(c), Applicants remit the deficiency in the instant case between the amounts paid and the amount due and enclose a check in the amount of \$331.

For convenience, a table of the fees paid, the current fees, and the deficiency owed follows:

Item	Fees Paid	Fees Owed
1/15/98 - Divisional filing fee for basic application	\$395	\$790
1/15/98 - Fee for four additional claims	\$176	\$352
1/15/98 - Terminal disclaimer	\$55	\$110
4/22/98 - Surcharge for filing Missing Parts	\$130	\$130
4/22/98 - Deficiency for basic filing fee	\$395	----
Total	\$1,051	\$1,382
Amounted owed: \$1,382 - \$1,051 = \$331		

Adjustment date: 10/01/1999 SLUANG1
02/13/1998 DFLOYD 00000020 09007498
01 FC:201 -395.00 OP
02 FC:203 -44.00 OP

Adjustment date: 10/01/1999 SLUANG1
08/13/1998 DFLOYD 00000002 09007498
01 FC:248 -55.00 OP

10/01/1999 SLUANG1 00000223 033975 09007498
01 FC:101
02 FC:148 45.00 CH 760.00 OP
03 FC:103 72.00 CH 65.00 OP

The Commissioner is authorized to charge any underpayment or credit any overpayment associated with this communication to Deposit Account No. 03-3975. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Dated: September 22, 1999

By: Christine S. Ring
Christine S. Ring
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